

IN THE CIRCUIT COURT FOR
COLLIER COUNTY, FLORIDA

CASE NO. 22-CP-3062

IN RE: ESTATE OF:
Lynn Edward Baker, Deceased

Deceased.

STATEMENT OF CLAIM

The undersigned hereby presents for filing against the above estate this Statement of Claim and alleges:

1. The basis of the claim is _____
See attached document.

2. The name and address of the Claimant are _____
Gregory J. Lozinak, 20 Otter Cove Drive, Old Saybrook CT 06475

and the name and address of the claimant's attorney, if any, are _____

3. The amount of the claim is \$ 40,000.00, which amount is now
due, or, if not due, will become due on NOW DUE

4. The claim (is) (is not) contingent or unliquidated. If contingent or unliquidated the nature of
the uncertainty is The claim is not contingent or unliquidated.

5. The claim (is) (is not) secured. If secured, the security consists of _____
The claim is not secured.

CLERK'S USE ONLY

I hereby certify that a copy of the Statement
Of Claim has been mailed to the foregoing on _____

Attorney

CLERK OF CIRCUIT COURT
COLLIER COUNTY, FLORIDA

By: _____

Deputy Clerk

Under penalties of perjury, I declare that I have
read the foregoing, and the facts alleged are true,
to the best of my knowledge and belief.
Signed on 3/13/2023

Claimant
GREGORY J. LOZINAK

Attorney for Claimant
Florida Bar # _____

Telephone _____

**IN THE CIRCUIT COURT OF THE TWENTIETH JUDICIAL CIRCUIT IN AND FOR
COLLIER COUNTY FLORIDA**

File No.: 22-CP-3062 IN RE:

ESTATE OF LYNN E. BAKER _____ /

STATEMENT OF CLAIM FOR GREGORY J. LOZINAK

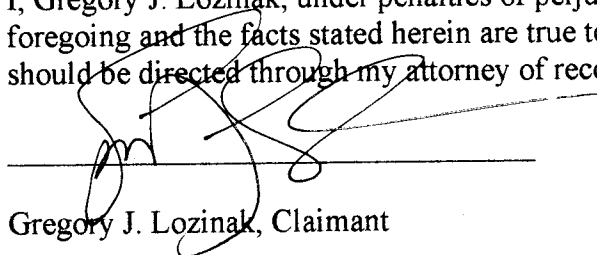
Gregory J. Lozinak ("Claimant") by and through undersigned counsel hereby presents his claim for filing against the above- referenced Estate, and states as follows:

1. Claimant invested funds in Advisors Equity, LLC after reviewing various documents provided to him by Decedent, including a private placement memorandum and a subscription booklet (collectively the "Securities Disclosure Documents").
2. Claimant signed contracts with Advisors Equity, LLC including a subscription agreement ("Contracts").
3. The Decedent, Lynn E. Baker, was at all relevant times the sole person in total control of Advisors Equity, LLC.
4. Since investing funds in Advisors Equity, LLC, Claimant has not received adequate documentation reflecting that his investment was handled consistently with the Securities Disclosure Documents and Contracts, nor has he received any periodic reporting on his investment, nor has he received any tax disclosure documents.
5. At present, Claimant has no idea whether his investment in Advisors Equity, LLC was invested properly and consistently with the Securities Disclosure Documents and Contracts.
6. Given the complete lack of required reporting, Claimant may have claims against this Estate, as follows:
 - a. To the extent that the Decedent failed to actually place the invested funds into Advisors Equity, LLC, Claimant has claims against the Estate including but not limited to theft, conversion, breach of contract and securities fraud.
 - b. To the extent Decedent took money or property from Advisors Equity, LLC, that he was not permitted to and such harmed Claimant, Claimant has claims against the Estate including but not limited to embezzlement, breach of contract, and securities fraud.
 - c. To the extent that Decedent mismanaged Claimant's investment in Advisors Equity, LLC, Claimant has claims against the Estate including but not limited to negligence, breach of contract, and securities fraud.
 - d. To the extent that Decedent, through Advisors Equity, LLC invested a portion of Claimant's money in companies for which Decedent failed to perform adequate due diligence, Claimant has claims against the Estate including but not limited to negligence, fraud, breach of contract, and securities fraud.
 - e. To the extent that Claimant has filed incorrect tax returns based on the failure of Advisors Equity, LLC to provide tax disclosure documentation, Claimant has a claim against the Estate for penalties and interest under negligence and other theories.


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- f. Given how the Decedent mismanaged Advisors Equity, LLC, Claimant has a claim against the Estate for disgorgement of all fees paid to Decedent based on Claimant's investment.
 - g. The failure of Decedent to have a succession plan at Advisors Equity, LLC, as well as his complete failure to provide adequate information to Claimant before his death, has caused Claimant to incur legal and other expenses to have his investment properly handled. Such expenses are recoverable under various theories, including but not limited to breach of contract, fraud, negligence, and securities fraud.
- 7. The claims seeking damages for the amount invested are liquidated, and all other claims are nonliquidated.
 - 8. Claims set forth in paragraphs a-e are contingent. All other claims are not contingent.
 - 9. Although the claims do not have recorded security interests underlying them, the claims might be secured in other unknown ways.

I, Gregory J. Lozinak, under penalties of perjury, do hereby declare that I have read the foregoing and the facts stated herein are true to the best of my knowledge. All communications should be directed through my attorney of record.



Gregory J. Lozinak, Claimant

3/13/2027
Date